



# CAp 2021

## Conférence sur l'Apprentissage Automatique

**VERS UNE MEILLEURE COMPREHENSION DES MÉTHODES DE MÉTA-APPRENTISSAGE À TRAVERS LA THÉORIE DE L'APPRENTISSAGE DE REPRESENTATIONS MULTI-TÂCHES**

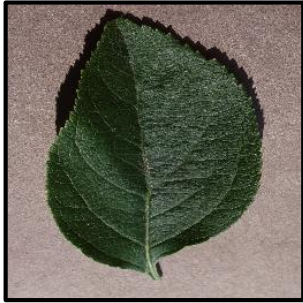
Quentin BOUNIOT





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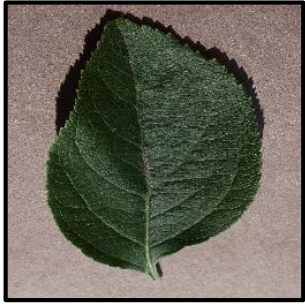
# INTRODUCTION



Apple



Blueberry



Apple



Blueberry



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Apple



Blueberry



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Apple



Blueberry



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Da Vinci



Botero



Apple



Blueberry



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Da Vinci



Botero



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Apple



Blueberry



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Da Vinci



Botero



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Meta-learning = Learning to Learn



- Meta-learning 101
- Multi-task Representation Learning Theory
- From Theory to Practice
- Take Home Message



# META-LEARNING 101





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# META-LEARNING 101

- What is Meta-learning ?
  - ▶ A meta-learner trained on multiple tasks.
  - ▶ For each task, the meta-learner trains a learner.
  - ▶ The meta-learner is evaluated on new unseen tasks.

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- ▶ Meta-Learning can be used for a lot of problems (classification, regression, RL, ...)
- How is it related to Few-shot Learning ?
  - ▶ The meta-learner *learns to learn* a new task with few shots.

# INTRODUCING EPISODES

Training  
Support Set

Testing  
Query Set

Meta-  
Training



Meta-  
Testing



# INTRODUCING EPISODES

Training Support Set

Testing Query Set

Meta-Training



← Episode  $i$

← Episode  $i + 1$

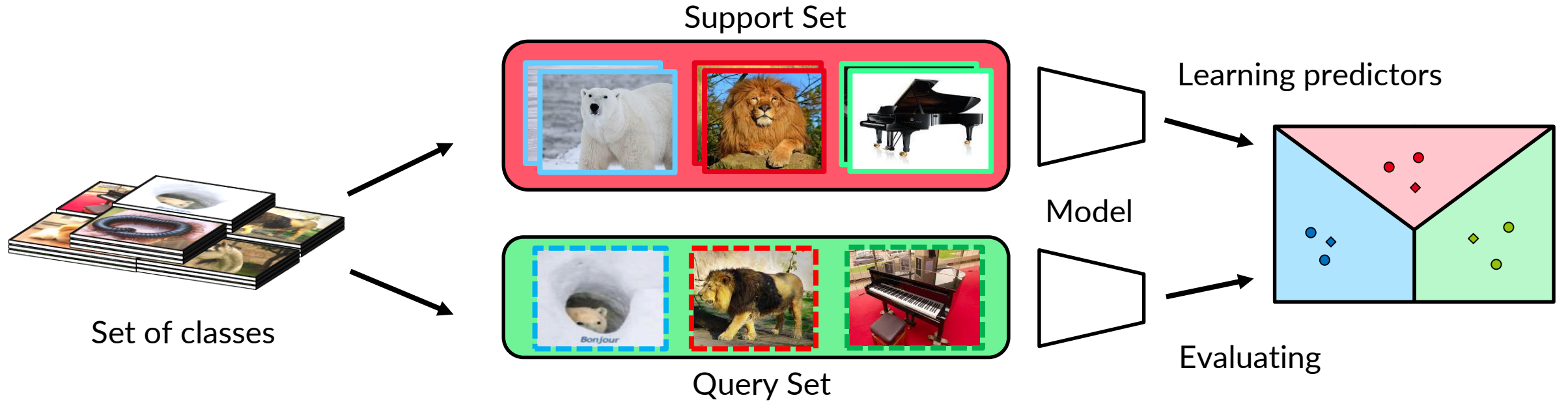
Meta-Testing



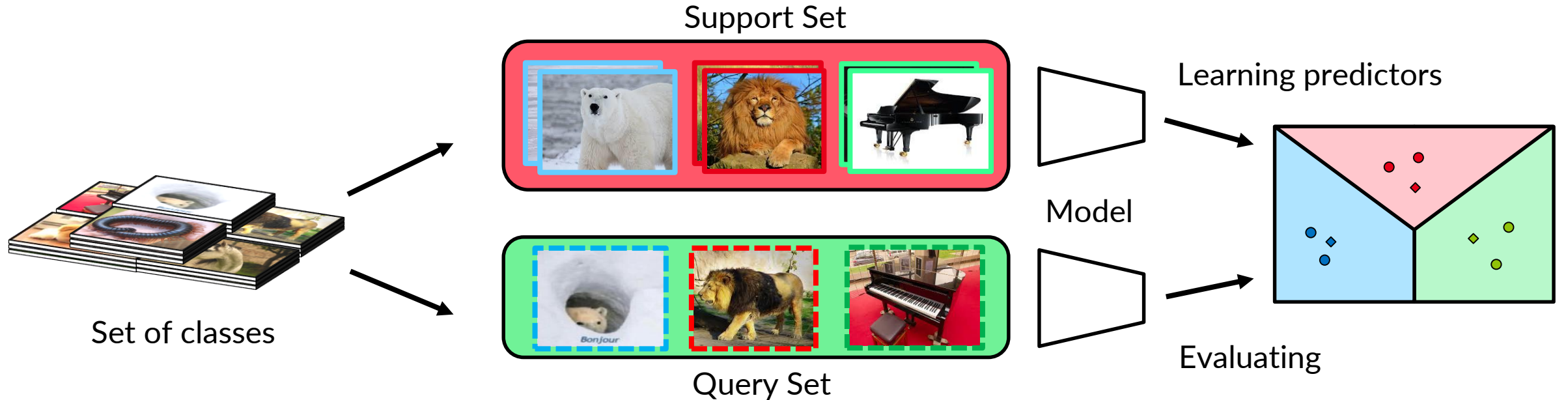
► *N*-way *k*-shot episode: task with *N* different classes and *k* images for each class.



# EPISODIC TRAINING

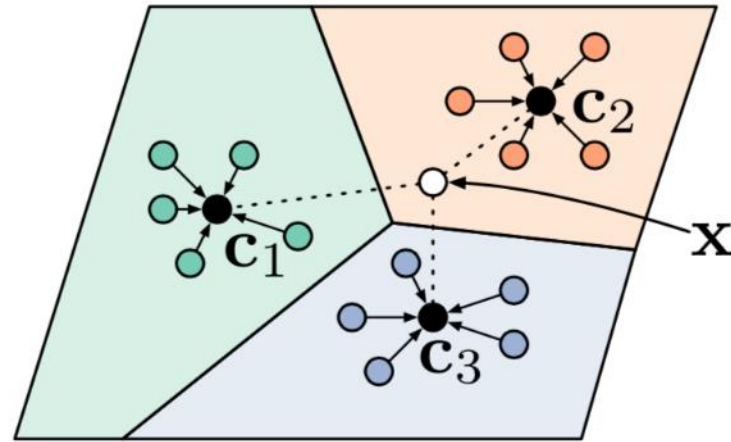


# EPISODIC TRAINING

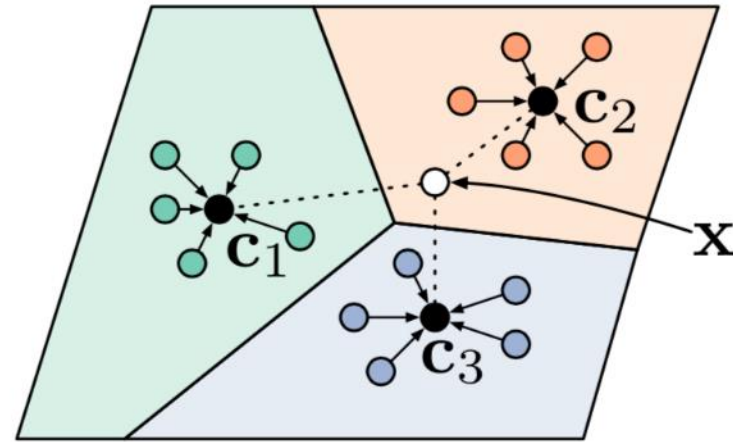


- Disjoint sets of classes between meta-training and meta-testing classes
- Construction of episodes from dataset
- Non-overlapping class labels between episodes

# METHODS I: METRIC-BASED PROTOTYPICAL NETWORK (PROTONET)



Snell J. et al. (2017), *Prototypical Networks for Few-shot Learning*. In NeurIPS 2017.  
Allen K. et al. (2019), *Infinite Mixture Prototypes for few-shot learning*. In ICML 2019.

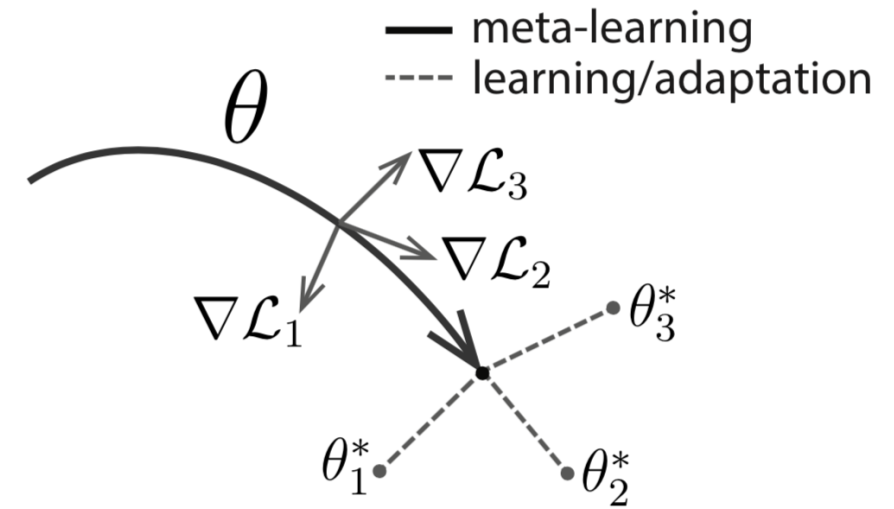
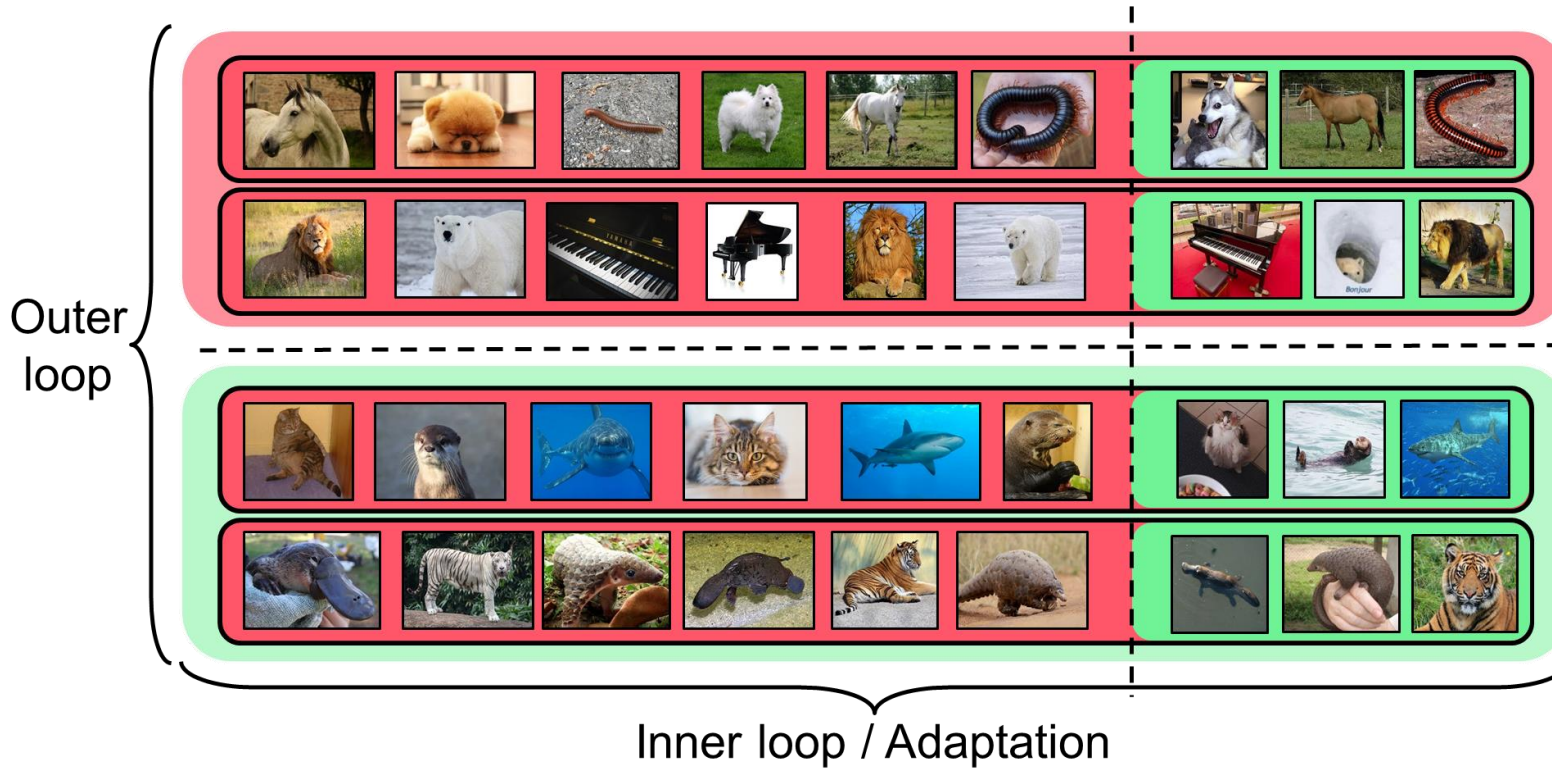


- **Embedding function** to encode query and support samples.
- Support samples fused into **prototypes**  $c_i$  for each class
- Probability distribution using **inverse of distances** to prototypes.
- **Contrastive loss** according to distance function.

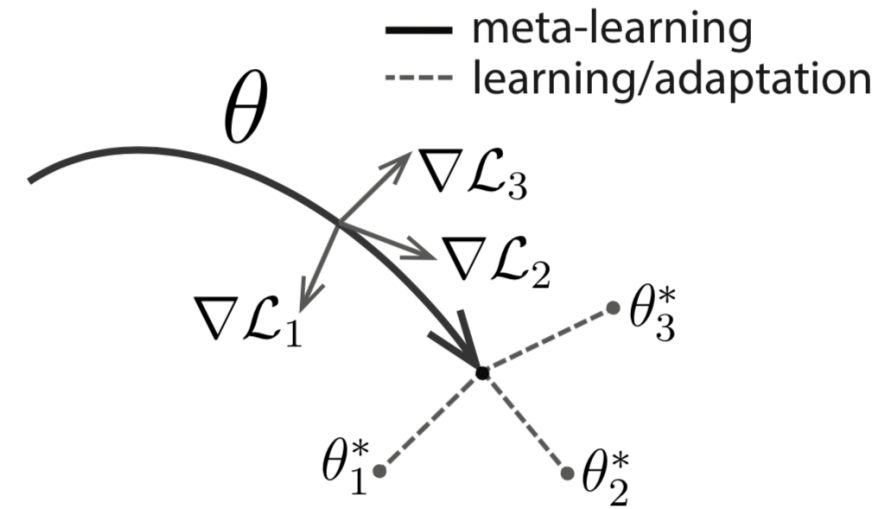
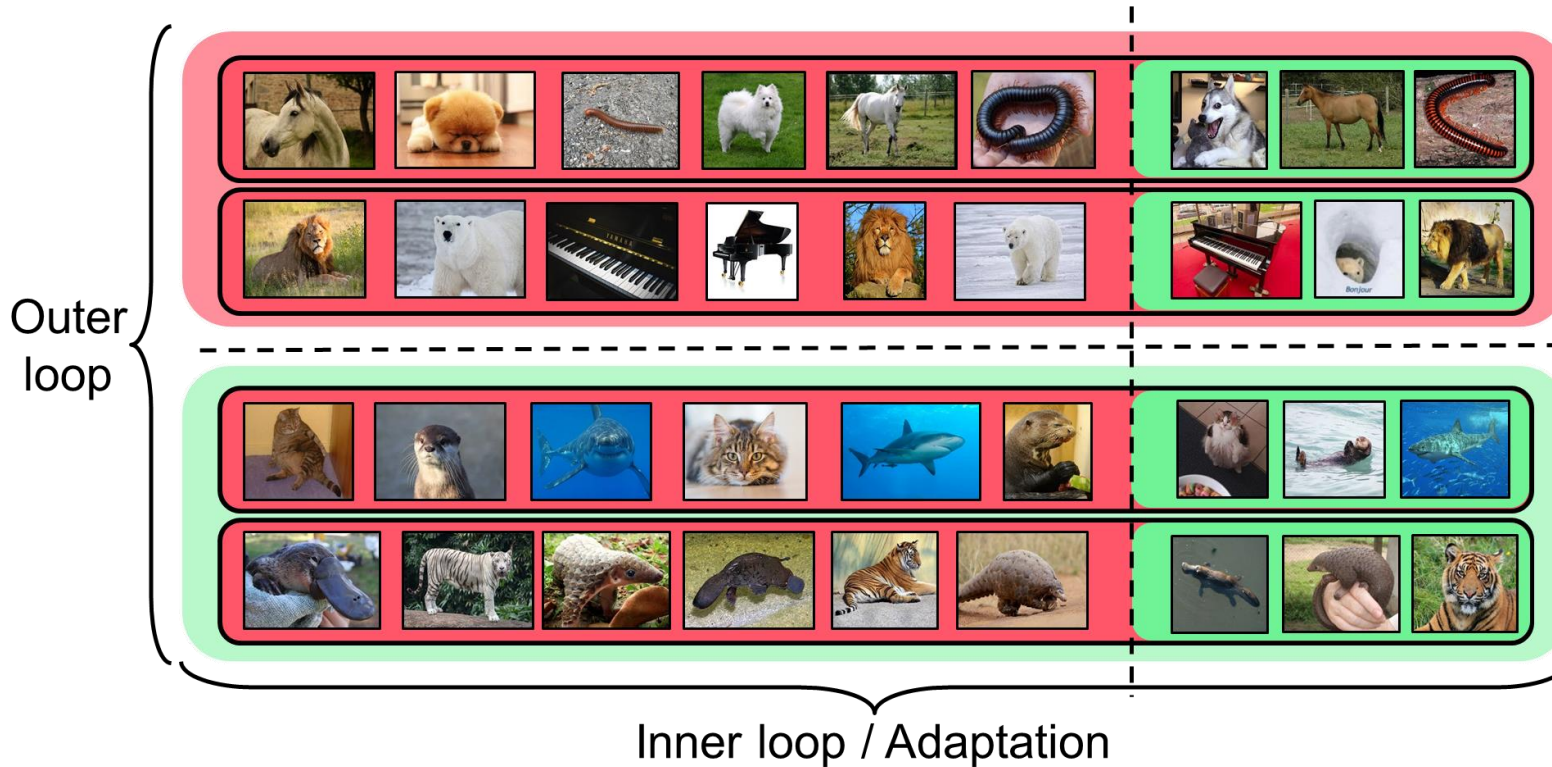
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# METHODS II: GRADIENT-BASED MODEL AGNOSTIC META-LEARNING (MAML)



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- **Inner Loop:**
  - ▶ Performs a few gradient updates over the  $k$  labelled examples (the support set) of **current episode/task**.
- **Outer Loop:**
  - ▶ Updates the **initialization** of the parameters (often called the *meta-initialization*).

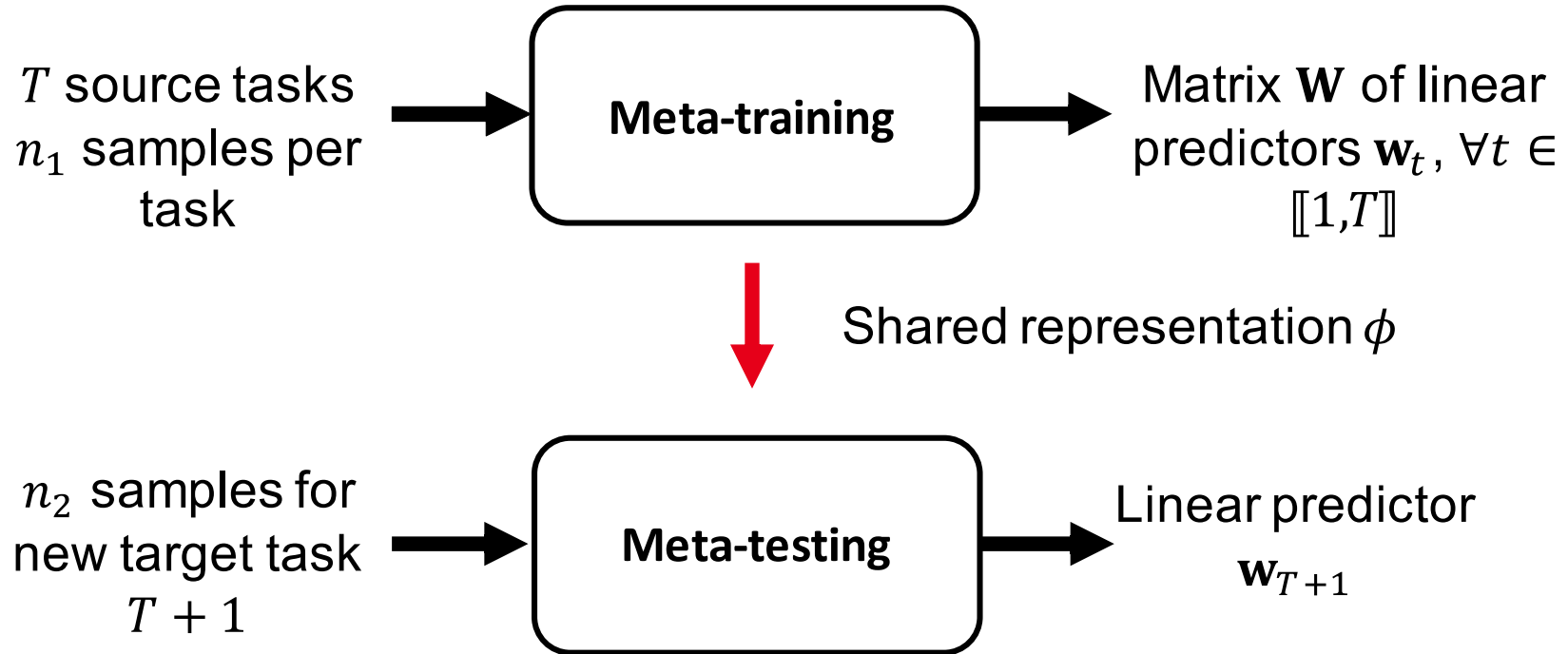


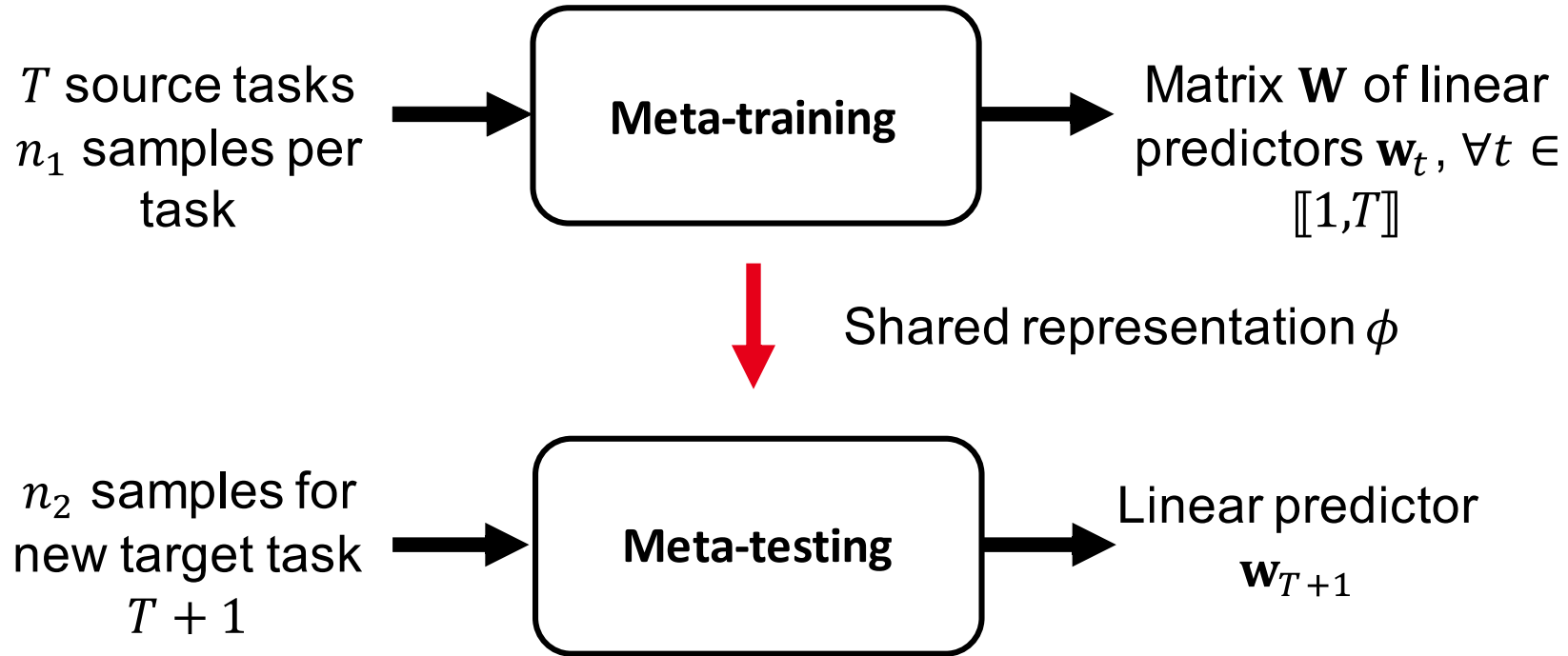
# MULTI-TASK REPRESENTATION LEARNING THEORY











**Goal:** Minimize *excess risk*  $ER = \mathcal{L}(\hat{\phi}, \hat{\mathbf{w}}_{T+1}) - \mathcal{L}(\phi^*, \mathbf{w}_{T+1}^*)$

► True risk  $\mathcal{L}$

► Optimal weights  $\phi^*$

►  $\mathbf{w}_{T+1}^*$  ideal target linear predictor



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# IMPORTANT ASSUMPTIONS

Du S. et al. (2020), *Few-Shot Learning via Learning the Representation, Provably*. In ICRL 2021  
Tripuraneni N. et al. (2020). *Provable Meta-Learning of Linear Representations*. In arXiv 2020.

- Assumption 1:      Diversity of the source tasks
  - ▶ Optimal predictors  $\mathbf{W}^* = [\mathbf{w}_1^*, \dots, \mathbf{w}_T^*]$  cover all the directions evenly

▶ Condition Number  $\kappa(\mathbf{W}^*) = \frac{\sigma_{max}(\mathbf{W}^*)}{\sigma_{min}(\mathbf{W}^*)}$  should not increase with T

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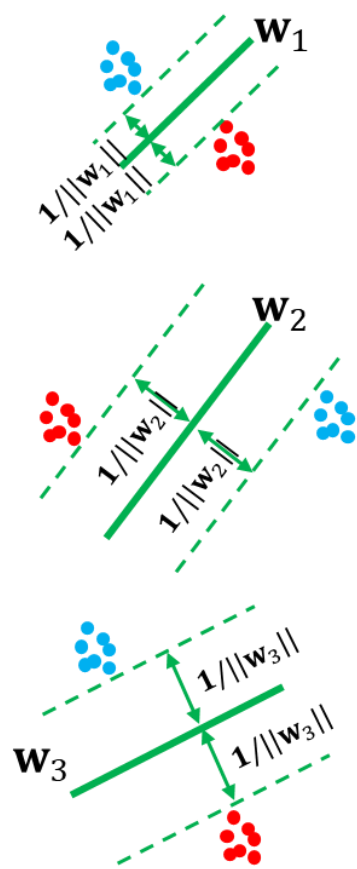
If satisfied,  $ER(\phi, \mathbf{w}_{T+1}) \leq O\left(\frac{1}{n_1 T} + \frac{1}{n_2}\right)$

✓ All source and target data are useful to decrease the bound of *excess risk*

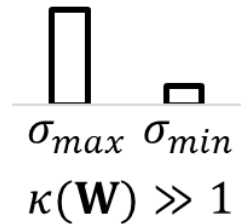
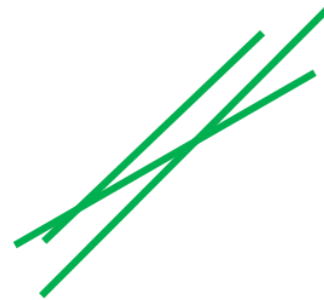
# ILLUSTRATION

## WHEN ASSUMPTIONS ARE NOT SATISFIED

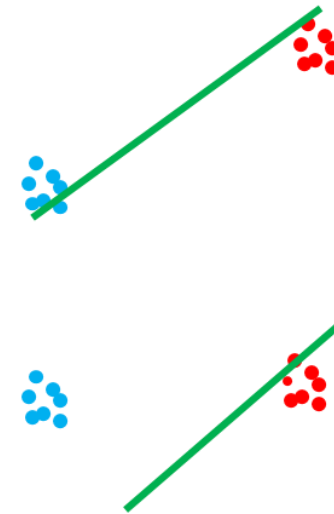
Source tasks



$$W = [w_1, w_2, w_3]$$



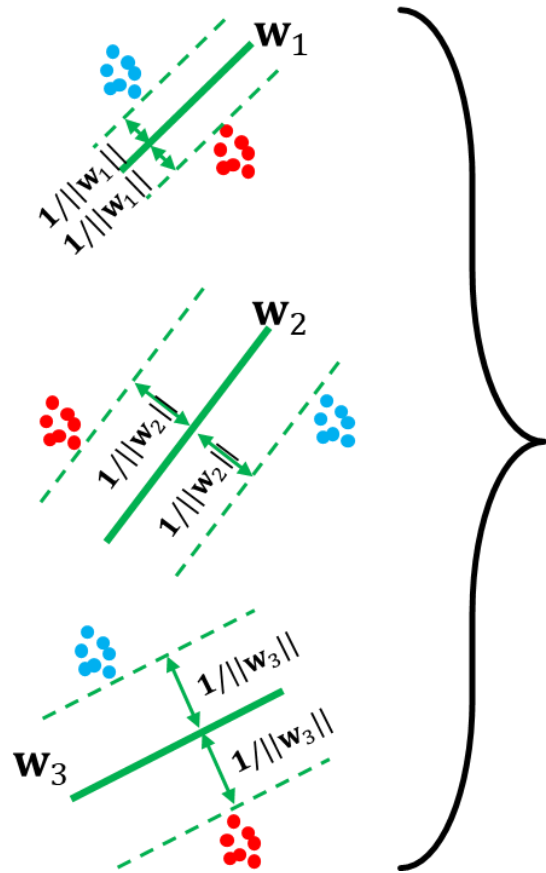
Target tasks



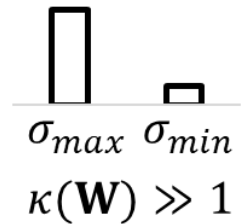
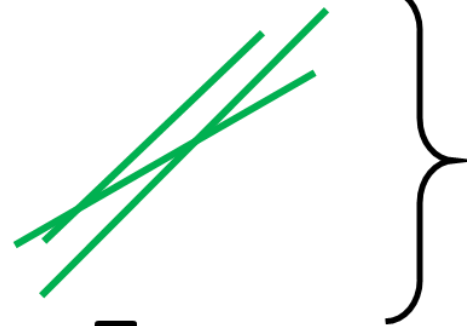
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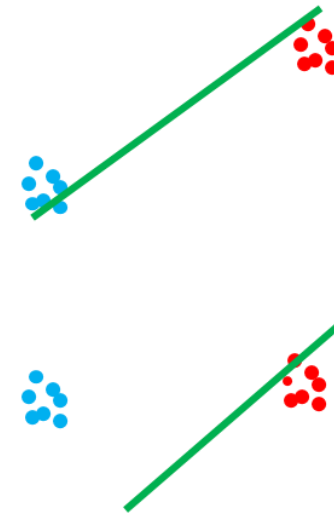
### Source tasks



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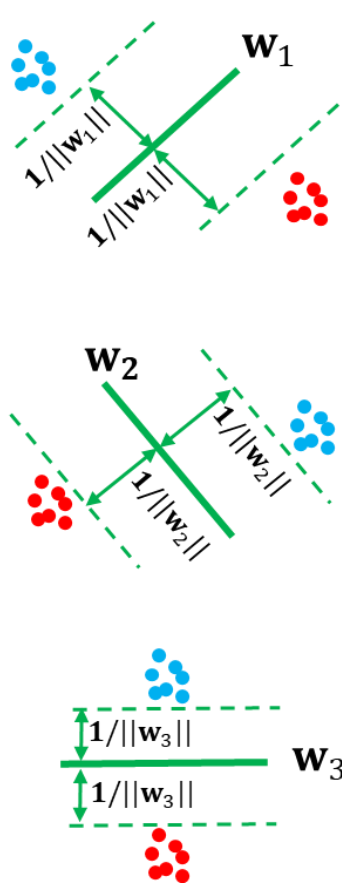
× Linear predictors cover **only part** of the space or **over-specialize** to the tasks



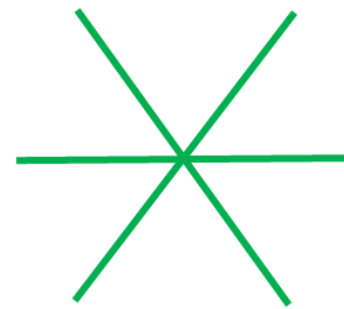
# ILLUSTRATION

## SATISFIED ASSUMPTIONS

### Source tasks



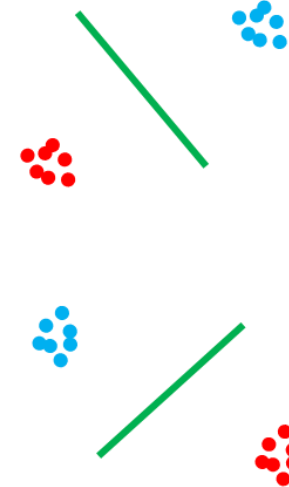
$$W = [w_1, w_2, w_3]$$



$\sigma_{max} \quad \sigma_{min}$

$$\kappa(W) \approx 1$$

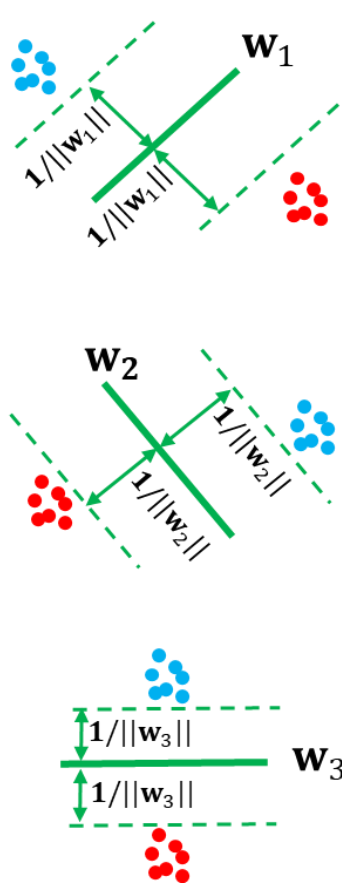
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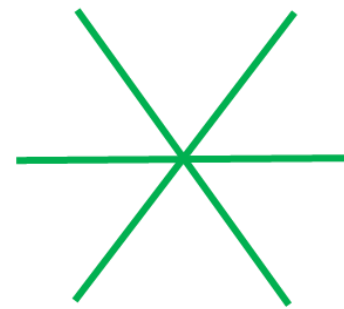
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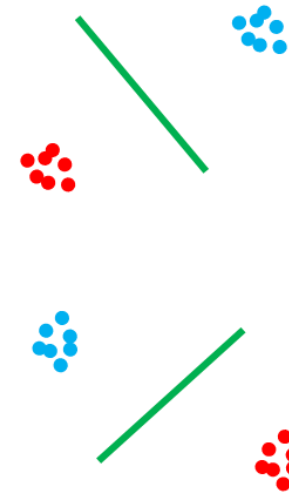
$$W = [w_1, w_2, w_3]$$



$\sigma_{max} \quad \sigma_{min}$

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### Target tasks



- ✓ Satisfying assumption 1 makes sure that linear predictors are **complementary**
- ✓ Satisfying assumption 2 avoids **under- or over-specialization** to the tasks



# FROM THEORY TO PRACTICE CONTRIBUTIONS



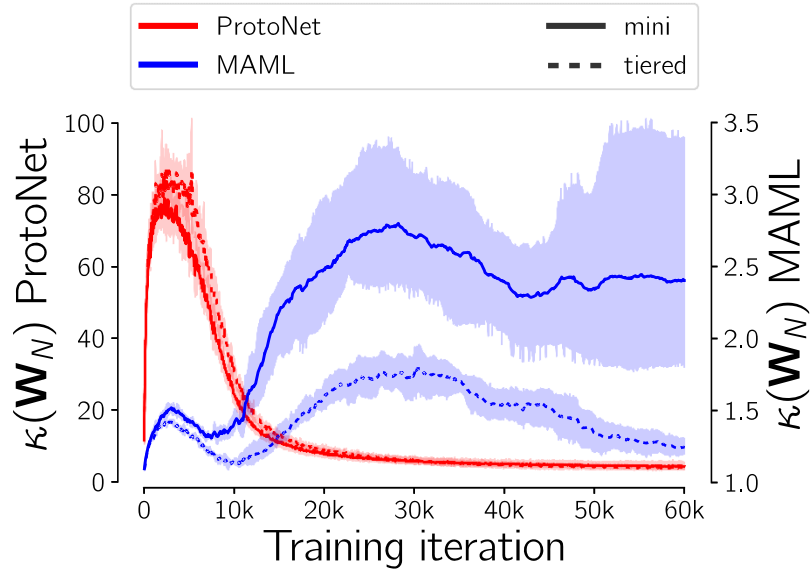
## CAN WE FORCE THE ASSUMPTIONS ?

Given  $\mathbf{W}^*$  such that  $\kappa(\mathbf{W}^*) \gg 1$ , can we learn  $\hat{\mathbf{W}}$  with  $\kappa(\hat{\mathbf{W}}) \approx 1$  while solving the underlying classification problems equally well ?

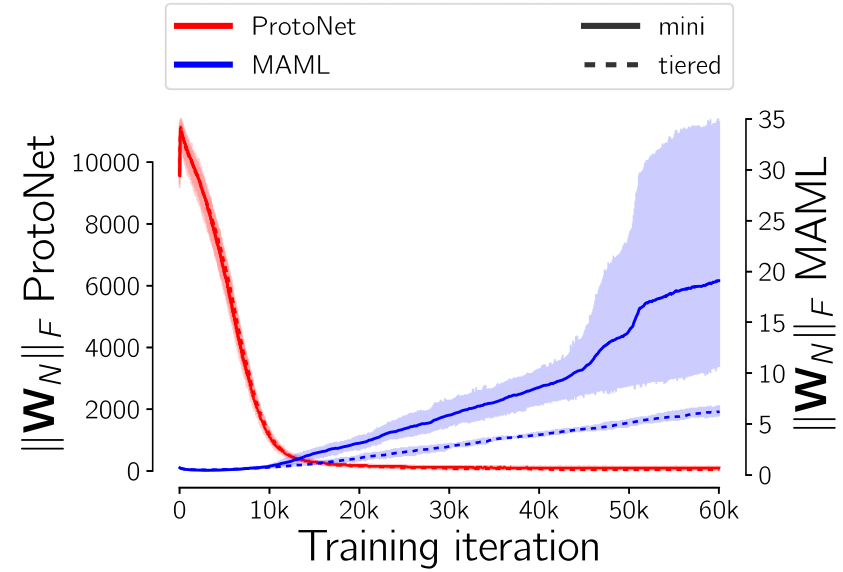
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- ✓ Even when  $\mathbf{W}^*$  does not satisfy the assumptions, it is **possible to learn  $\hat{\phi}$**  to respect them

# WHAT HAPPENS IN PRACTICE ?

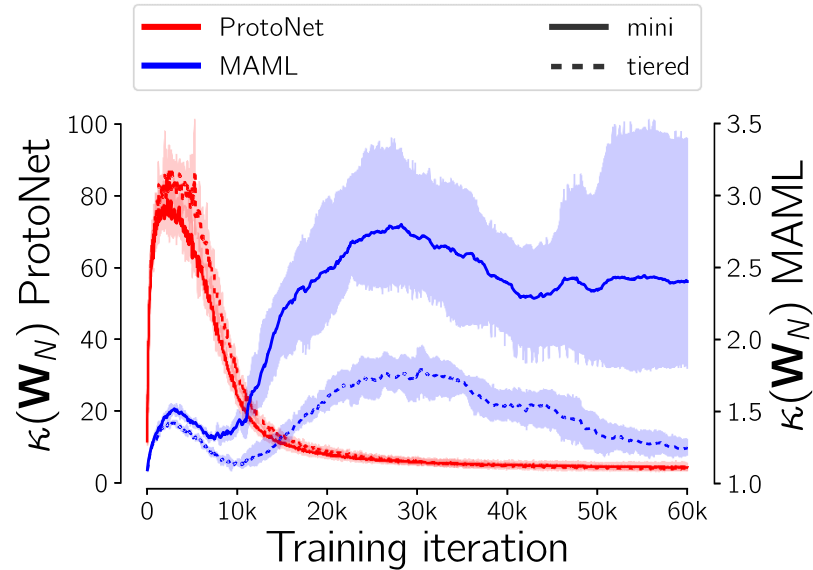


Monitoring the Condition Number

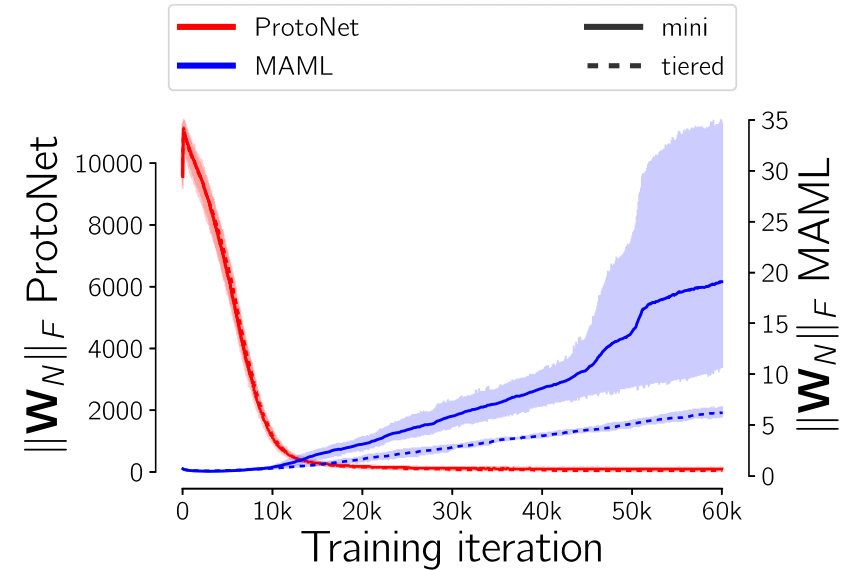


Monitoring the Norm

# WHAT HAPPENS IN PRACTICE ?



Monitoring the Condition Number



Monitoring the Norm

- ▶  $W_N$  restriction to  $N$  last predictors
- ✓ ProtoNet naturally verifies the assumptions
- ✗ MAML does not verify the assumptions



# WHY DOES IT HAPPEN ?



- Theorem (Normalized ProtoNet):

$$\text{if } \forall i \ \|prototype_i\| = 1, \text{ then } \exists \phi \in \arg \min loss \text{ such that } \kappa(\mathbf{W}^*) = 1$$

- ✓ Norm minimization is **enough** to obtain well-behaved condition number for **ProtoNet**.

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- ✓ Norm minimization is **enough** to obtain well-behaved condition number for **ProtoNet**.
- Proposition (Condition Number for MAML):

At iteration  $i$ , if  $\sigma_{min} = 0$  for last two tasks,  
then  $\kappa(\widehat{W}_2^{i+1}) \geq \kappa(\widehat{W}_2^i)$

- ✗ The condition number for **MAML** can **increase** between iterations.

- Ensuring Assumption 1: Spectral or entropic regularization

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$$\kappa(\mathbf{W}_N) = \frac{\sigma_{\max}(\mathbf{W}_N)}{\sigma_{\min}(\mathbf{W}_N)} \quad \text{or} \quad H_{\sigma}(\mathbf{W}_N) = \sum_{i=1}^N \text{softmax}(\sigma(\mathbf{W}_N))_i \cdot \log \text{softmax}(\sigma(\mathbf{W}_N))_i$$

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- Ensuring Assumption 2: Norm regularization or normalization for linear predictors

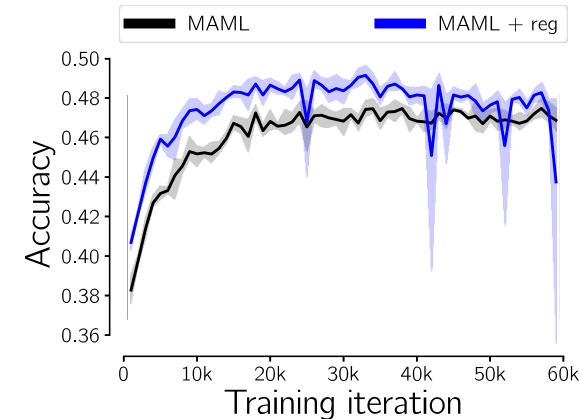
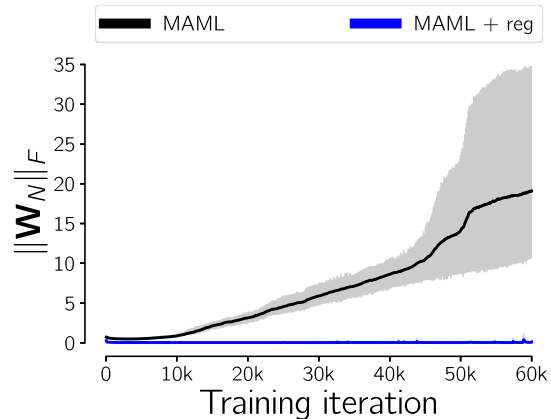
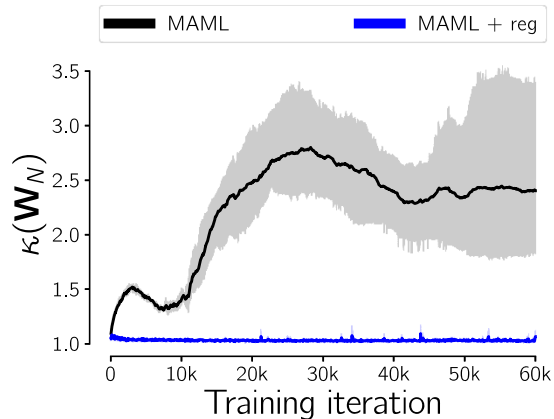
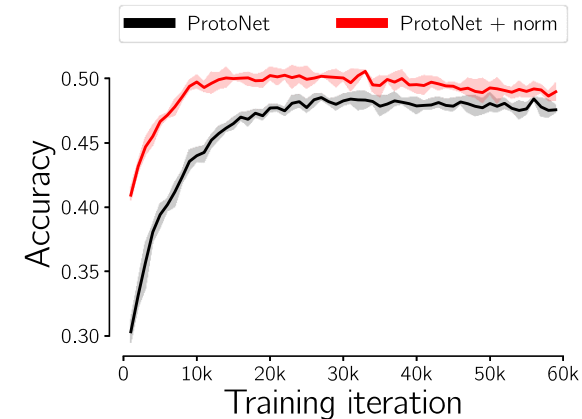
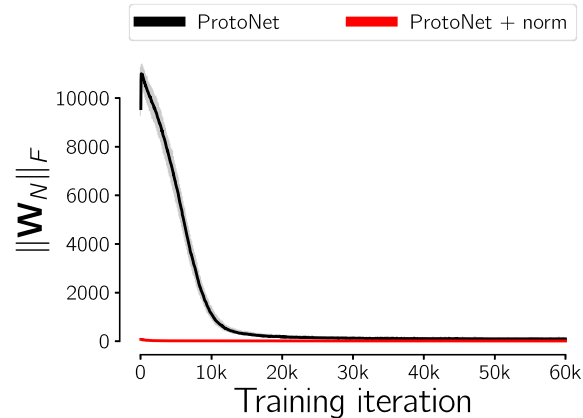
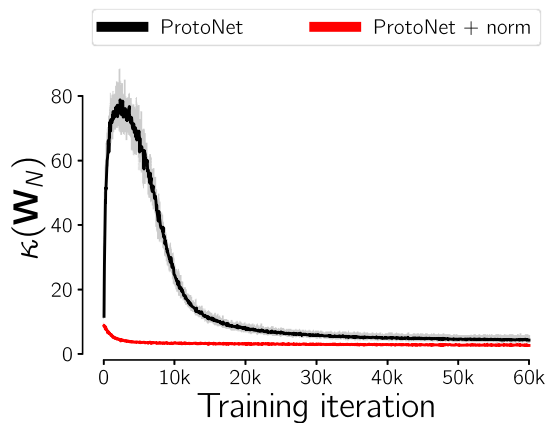
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  - ✓ Normalizing predictors ensures **constant margin** that does not change with  $T$

# EXPERIMENTAL RESULTS

## MONITORING THE CONDITION NUMBER AND THE NORM

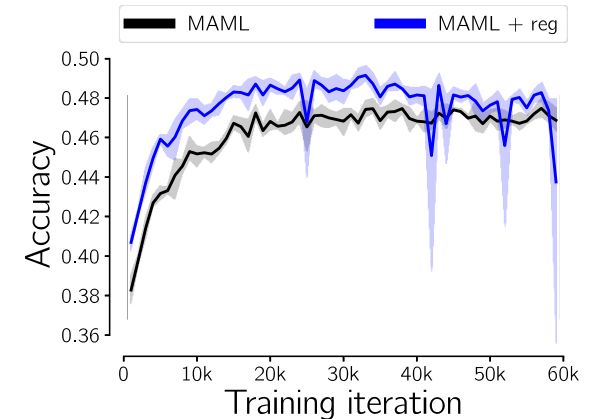
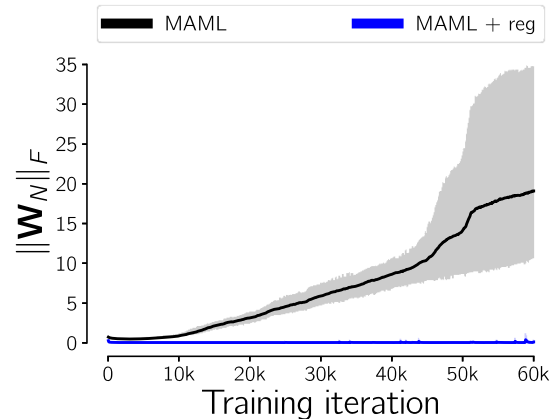
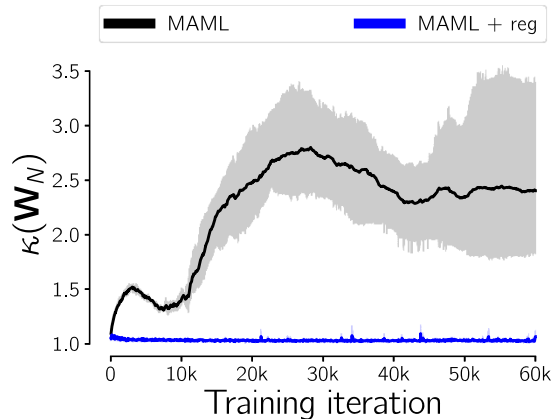
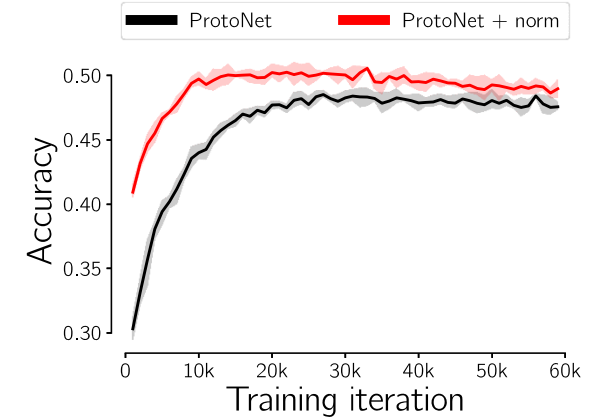
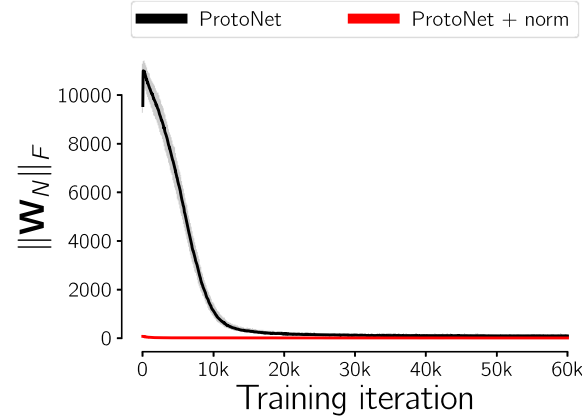
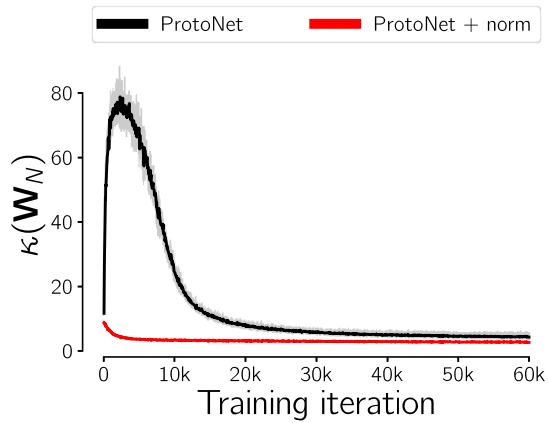


Experiments on mini-ImageNet 5-way 1-shot



# EXPERIMENTAL RESULTS

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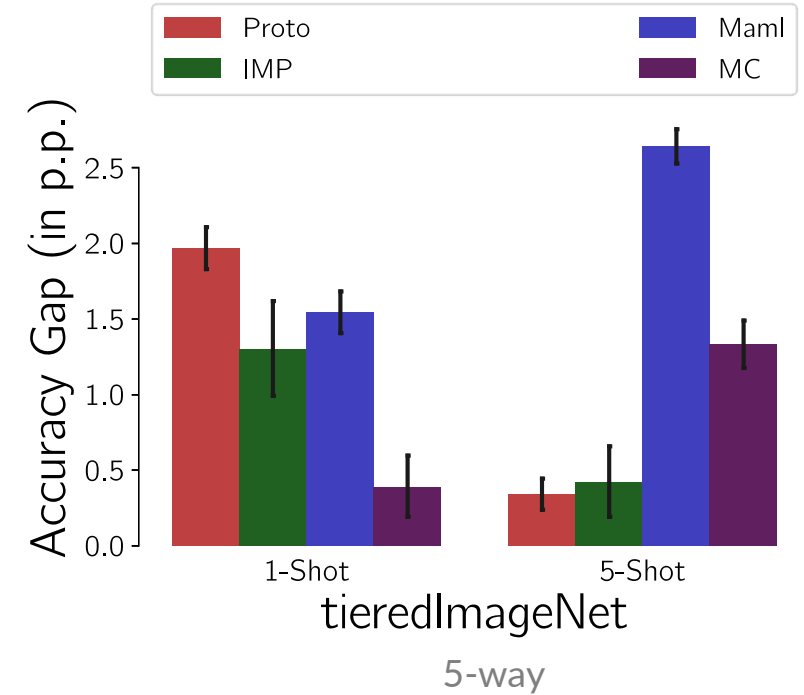
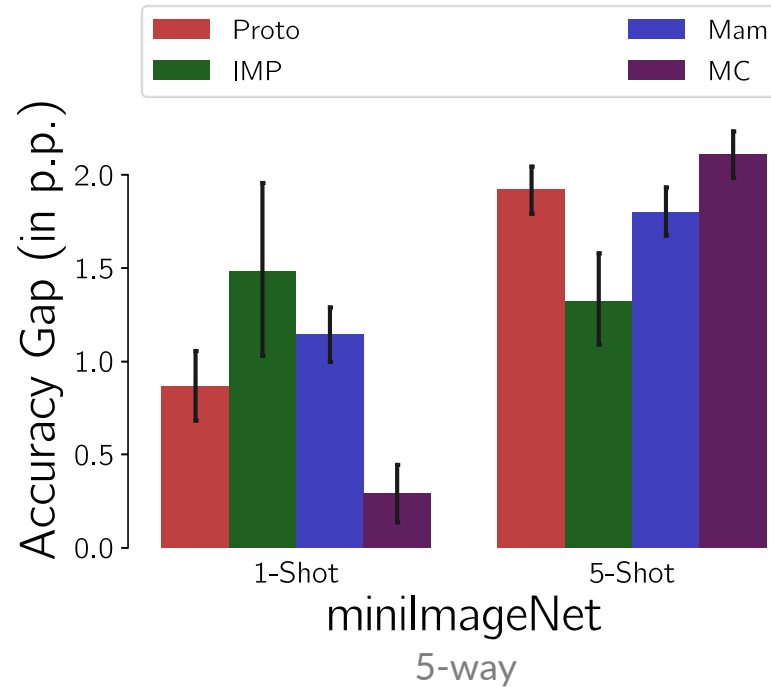
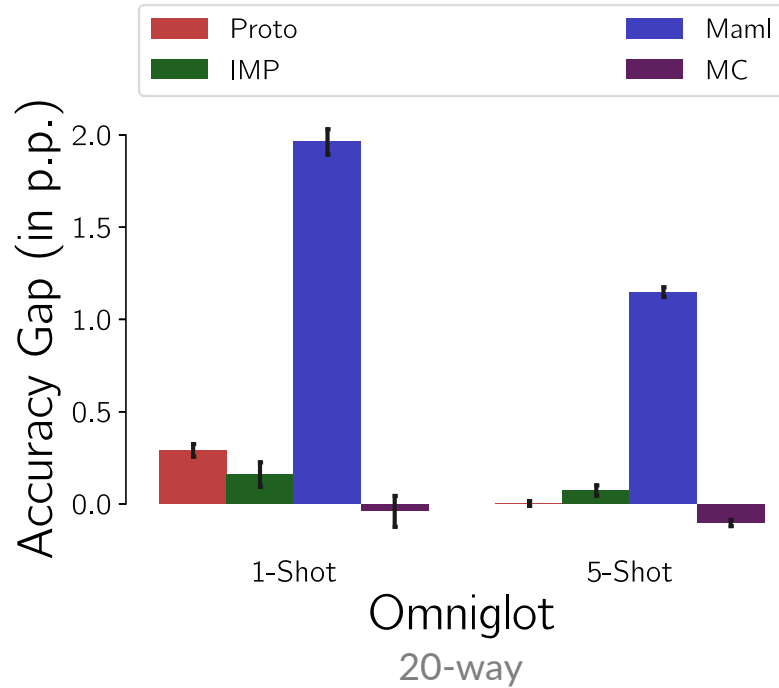


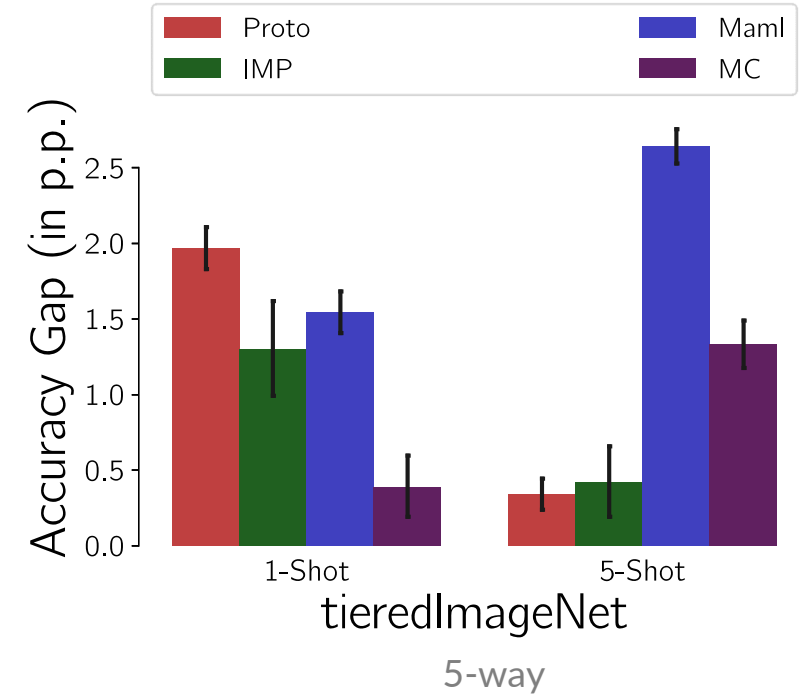
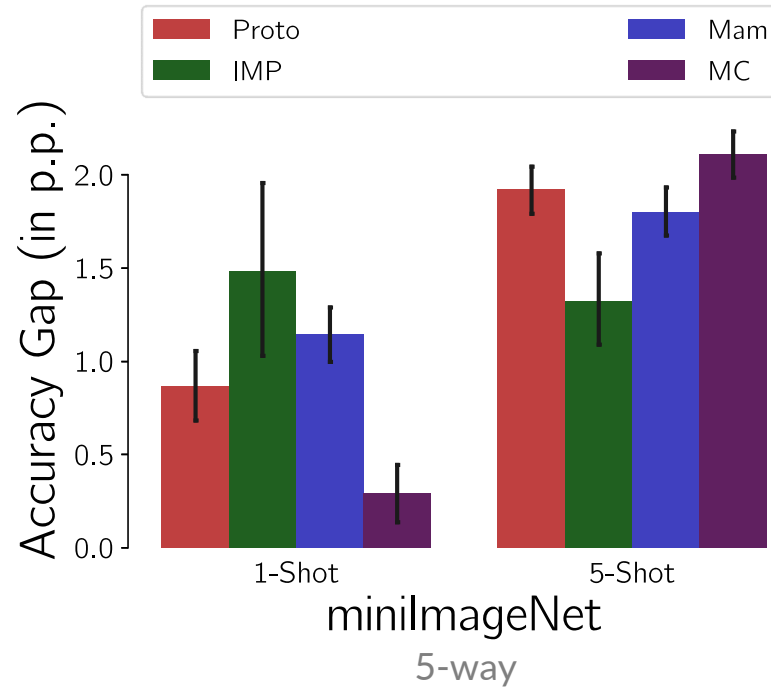
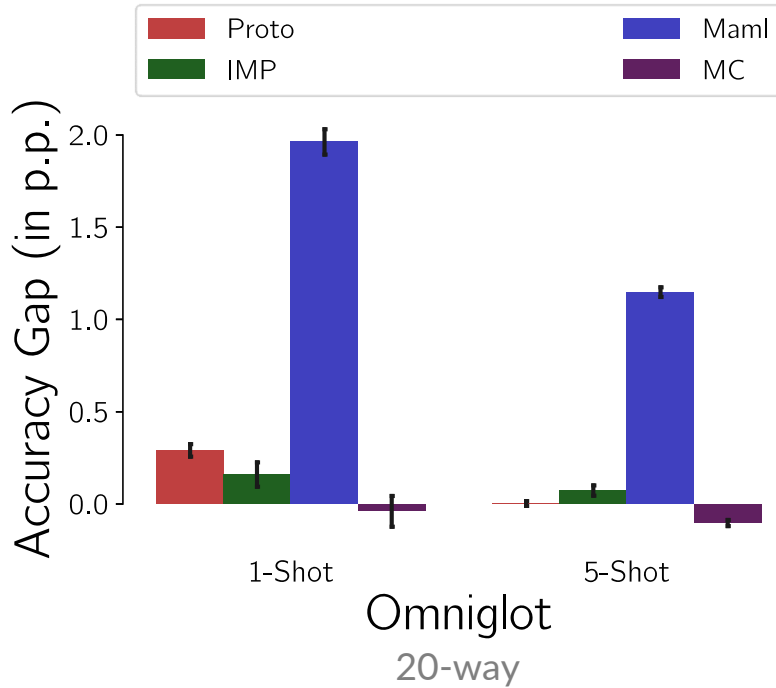
Experiments on mini-ImageNet 5-way 1-shot

✓ Our regularization and normalization have the intended effects.

# EXPERIMENTAL RESULTS

## ACCURACY GAPS





- ✓ Statistically significant improvement with our regularization and normalization.
- ✓ Enforcing the assumptions leads to **better generalization** when not verified naturally.

# EXPERIMENTAL RESULTS: CROSS-DOMAIN

Guo et al. 2020.  
A Broader Study of Cross-Domain Few-Shot Learning.  
In ECCV 2020

Source Domain:



**ImageNet:**  
Perspective  
Natural Images  
Color

Target Domains:

(Disjoint Label Spaces)

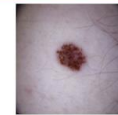
Decreasing Similarity to ImageNet



**CropDisease:**  
Perspective  
Natural Images  
Color



**EuroSAT:**  
No Perspective  
Natural Images  
Color

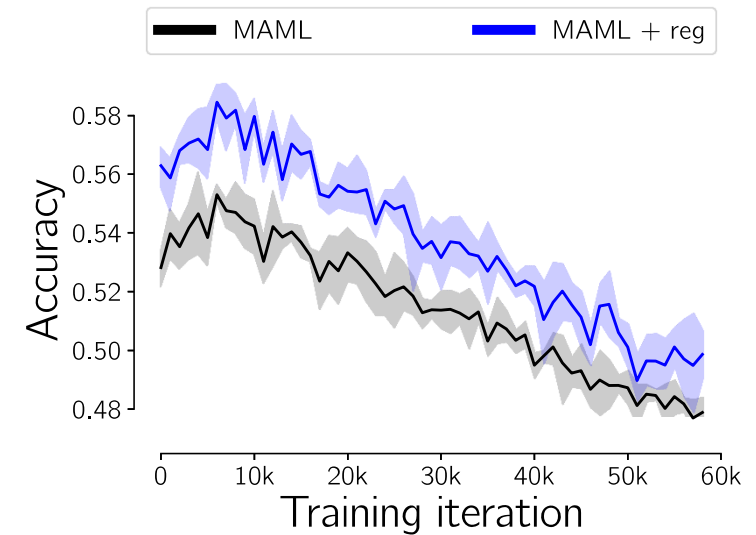
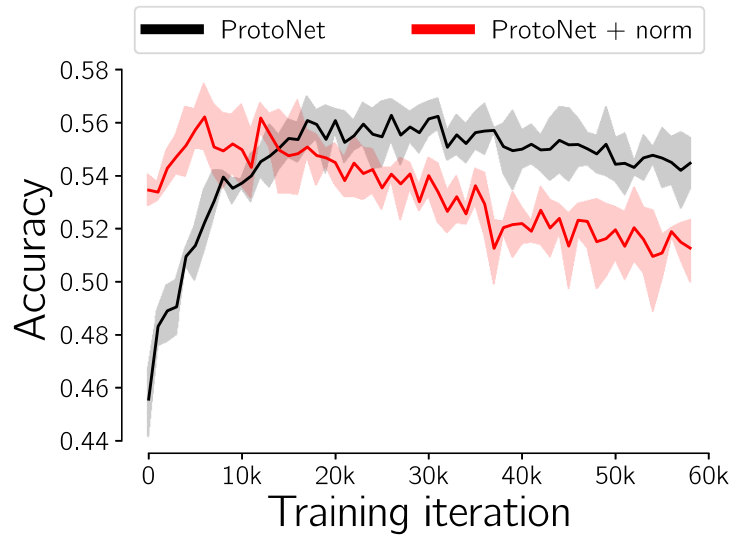


**ISIC:**  
No Perspective  
Medical Images  
Color



**ChestX:**  
No Perspective  
Medical Images  
Grayscale

5-way  
1-shot



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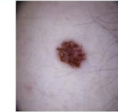
Decreasing Similarity to ImageNet



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Natural Images  
Color

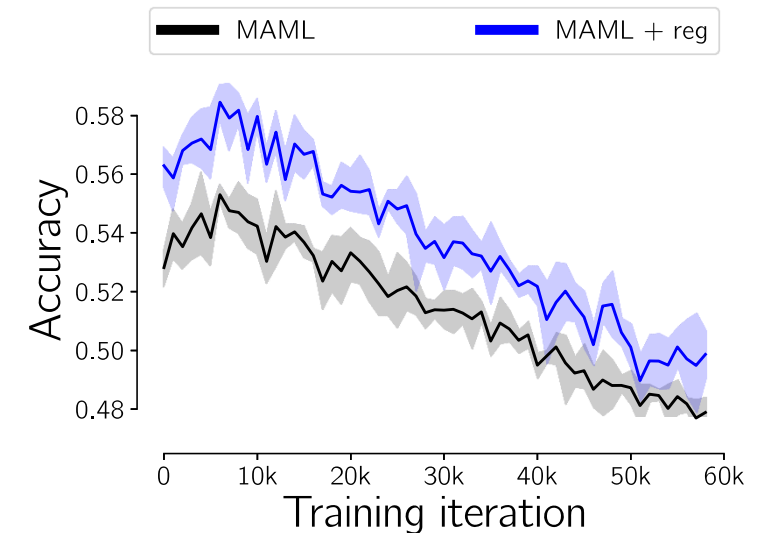
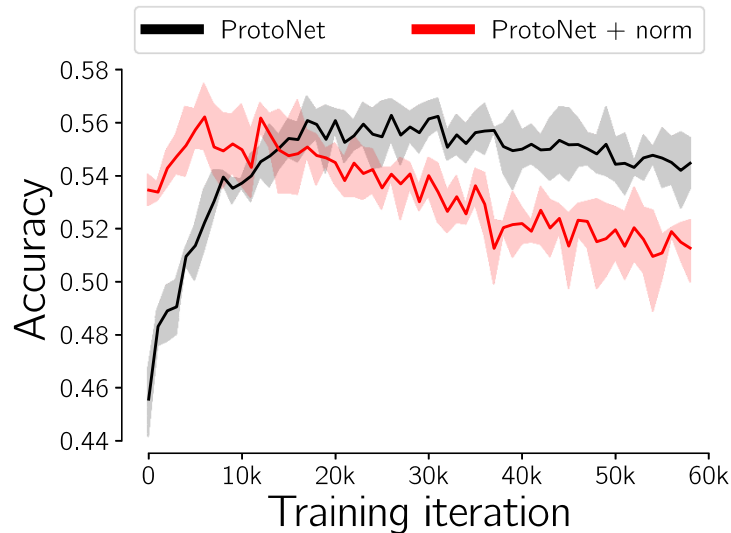


**ISIC:**  
No Perspective  
Medical Images  
Color



**ChestX:**  
No Perspective  
Medical Images  
Grayscale

5-way  
1-shot



- ✗ Improvement does **not** translate to cross-domain for *metric-based methods*.
- ✓ *Gradient-based methods* keep their accuracy gains.



## TAKE HOME MESSAGE



- Improving Few-Shot Learning Through Multi-Task Representation Learning Theory
  - ✓ Connection between Meta-Learning and Multi-Task Representation Learning Theory
  - ✓ Explanations of why some meta-learning methods **naturally fulfill** theoretical assumptions of the best learning bounds.
  - ✓ **Practical ways** to enforce the assumptions which leads to **significant** performance improvements.

More details in  
arXiv paper:



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 @QBouniot

 <https://qbouniot.github.io>

# Thank you for listening !



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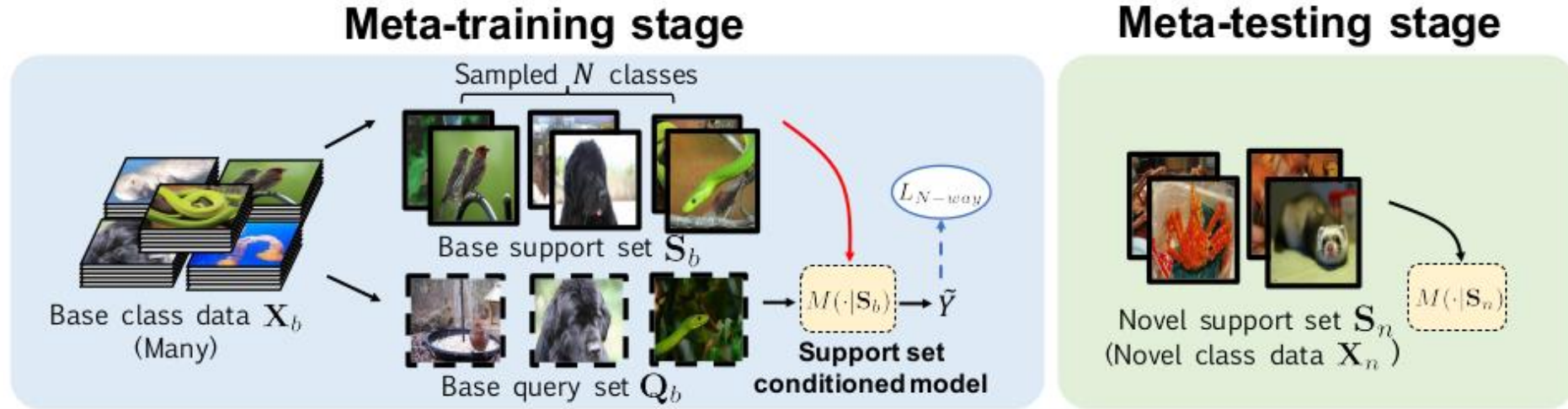
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91191 Gif-sur-Yvette Cedex - FRANCE  
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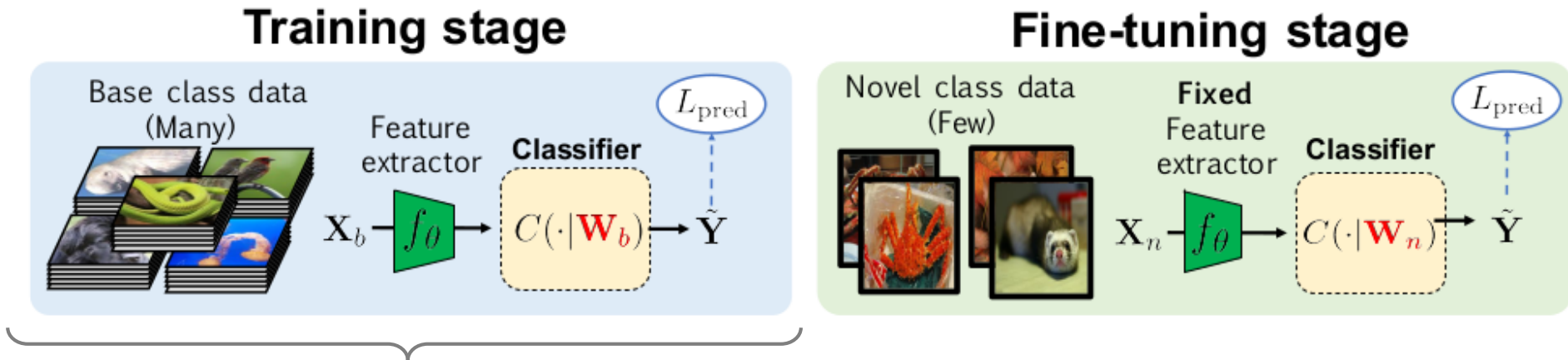


# APPENDIX: EPISODIC TRAINING VS REGULAR TRAINING

Episodic Training

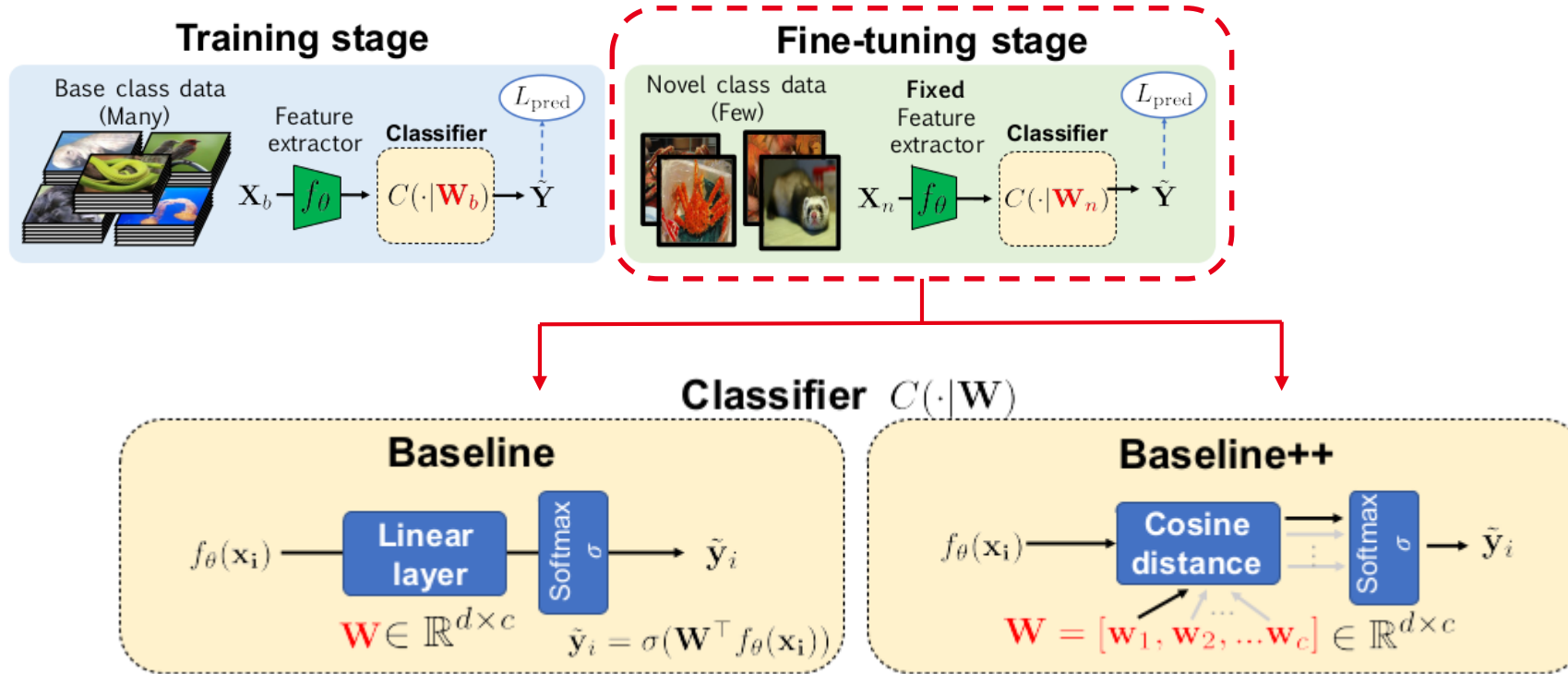


Regular Training



Learning a single episode

Regular  
Training



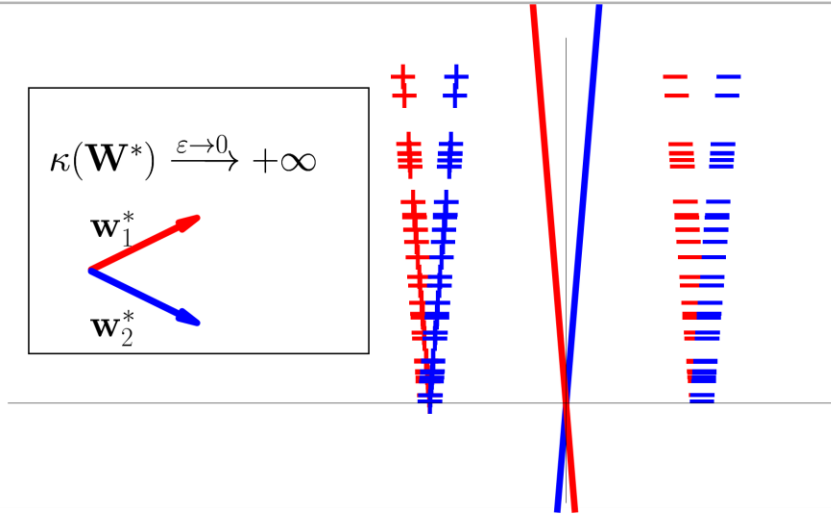
Adapted from [Chen19]

- **Baseline** uses a dot product in the classification layer followed by a softmax
- **Cosine classifier (or Baseline++)** uses a cosine similarity followed by a softmax

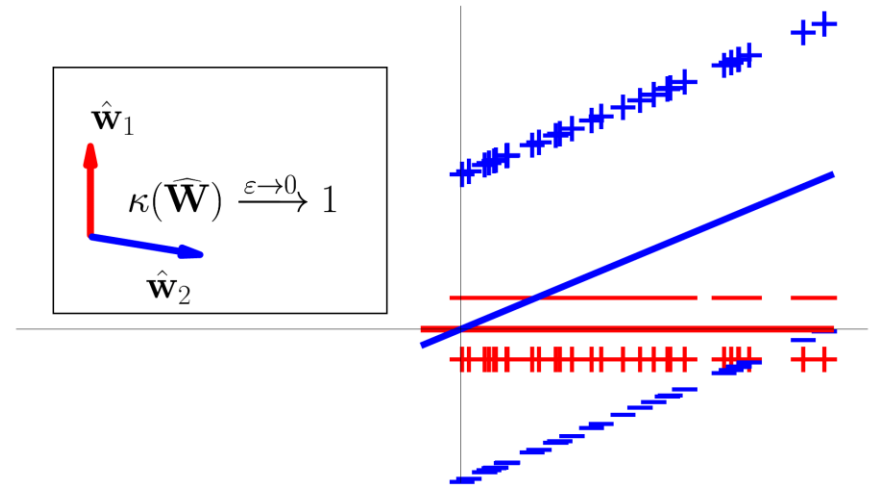
# APPENDIX: CAN WE FORCE THE ASSUMPTIONS ?

Given  $\mathbf{W}^*$  such that  $\kappa(\mathbf{W}^*) \gg 1$ , can we learn  $\hat{\mathbf{W}}$  with  $\kappa(\hat{\mathbf{W}}) \approx 1$  while solving the underlying classification problems equally well ?

+ - Source task 1 in  $\Phi^*$  space      + - Source task 2 in  $\Phi^*$  space

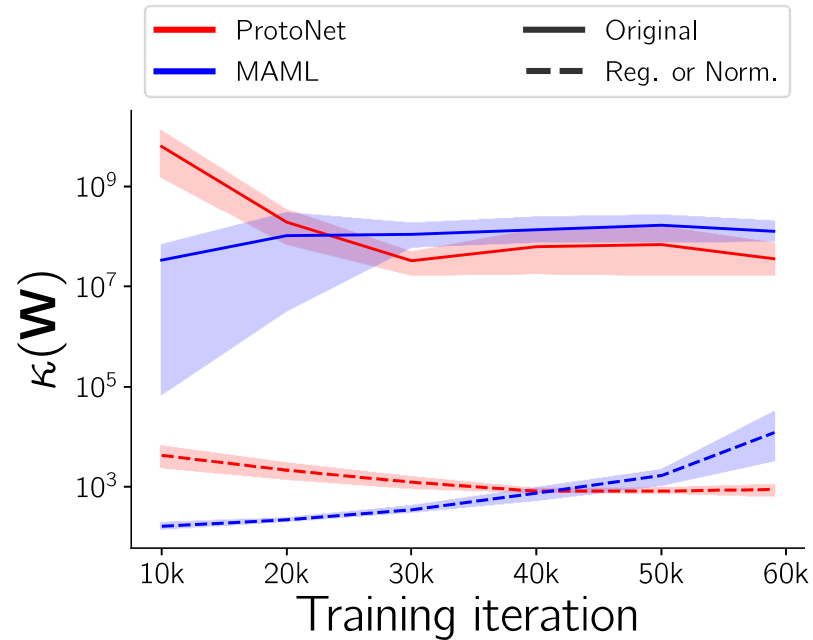


+ - Source task 1 in  $\hat{\Phi}$  space      + - Source task 2 in  $\hat{\Phi}$  space



✓ Even when  $\mathbf{W}^*$  does not satisfy the assumptions, it is possible to learn  $\hat{\phi}$  to respect them

# APPENDIX: CONDITION NUMBER OF ALL PREDICTORS



- ▶  $\kappa(\mathbf{W}_N)$  shows dynamics during training, but values are not comparable
- ▶  $\kappa(\mathbf{W})$  is intractable to compute during training.